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PTO-1390 (Rev. 07-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 9694-000040/US/NP SAPPLICATION NO. (if known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCTJP2004/015379 18 October 2004 20 October 2003 TITLE OF INVENTION DISK APPARATUS APPLICANT(S) FOR DO/EO/US Shinya OGASAWARA et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 4. X 5. x A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. x is not required, as the application was filed in the United States Receiving Office (RO/US). Х An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). х is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. x Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d.i have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. x An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. x An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. A power of attorney and/or change of address letter. 16 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 17 A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

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U.S. APPCICATION NO. (Il known, see 37 CFR 1.5)			INTERNATIONAL APPLICATION NO. PCTJP2004/015379		ATTORNEY'S DOCKET NUMBER 9694-000040/US/NP		
20. X Other items or information: Certificate of Express Mailing (Label EV 843 563 371 US) Check in the amount of \$900.00 Formal Drawings showing Figs. 1-14 (13 pages) IDS Citation by Applicant citing 3 refs (3 encl) w/ ISR Copy of Published International Application WO 2005/038797 A1 Return Receipt Postcard							
The follow	ving fees have	CALCULATIONS	S PTO USEONLY				
21. x Basic national fee (37 CFR 1.492(a))					\$ 300.0		
22. x Examir	nation fee (37 (n prepared by IS/ EA/US indicates a	\$ 200.0	0				
All other situations \$200 23. X Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400 All other situations \$500						\$ 400.0	0
Т	OTAL OF 21, 22	and 23 =				\$ 900.0	0
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets E	Extra Sheets	Number of each additional 50 or fra thereof (round up to a whole num		RATE			
52 -100=	/50 =		x \$250.00		\$		
Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).						\$	
CLAIMS NUMBER FILED			NUMBER EXTRA	NUMBER EXTRA RATE			
Total claims	1	1 - 20 =		x		0.00	
Independent cla	aims	1 - 3 =	x		0.0	0	
MULTIPLE DEPEN	NDENT CLAIM(S) (if applicable)		+			
TOTAL OF ABOVE CALCULATIONS =						\$ 900.0	0
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.							
SUBTOTAL =						\$ 900.0	0
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						\$	
TOTAL NATIONAL FEE =						\$ 900.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$	
\$							
TOTAL FEES ENCLOSED =						\$ 900.00	
						Amount to be refunded:	\$
						Amount to be charged	\$

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless displays a valid OMB number. A check in the amount of \$ 900.00 to cover the above fees is enclosed. Please charge my Deposit Account No. in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit 08-0750 . A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038 NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed an granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Gregory A. Stobbs HARNESS, DICKEY & PIERCE, P.L.C. P. O. Box 828 Gregory A. Stobbs Bloomfield Hills, MI 48303 NAME United States of America 28,764 REGISTRATION NUMBER CUSTOMER NUMBER: 52800 Dated: April 19, 2006

Application No. (if known): Not Yet Assigned

Attorney Docket No.: 9694-000040/US/NP

Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV 843 563 371 US in an envelope addressed to:

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on	April 19, 2006					
	Date					

Fren Lolls						
Signature						
Gregory A. Stobbs						
Typed or printed name of person signing Certificate						
28,764	(248) 641-1214					
Registration Number, if applicable	Telephone Number					

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- 1. Certificate of Express Mailing (Label EV 843 563 371 US);
- 2. Check in the amount of \$900.00;
- 3. Transmittal Letter to the DO/EO/US (3 pages, in duplicate);
- 4. Application Data Sheet (4 pages);
- 5. English translation of the International application (39 pages);
- 6. Formal Drawings showing Figs. 1-14 (13 pages);
- 7. Signed Declaration and Power of Attorney (3 pages);
- 8. Information Disclosure Statement (2 pages);
- 9. IDS Citation by Applicant citing 3 refs (3 encl) w/ ISR;
- 10. Copy of Published International Application WO 2005/038797 A1;
- 11. Return Receipt Postcard.